TENDERED

RECEIVED
NOV 1 2007
FRANKLIN CIRCUIT COURT
SALLY JUMP, CLERK

COMMONWEALTH OF KENTUCKY
FRANKLIN CIRCUIT COURT
DIVISION NO. II
CIVIL ACTION NO. 07-CI-1767

COMMONWEALTH OF KENTUCKY, EX. REL.,
THE EXECUTIVE DIRECTOR OF THE
OFFICE OF FINANCIAL INSTITUTIONS PLAINTIFF

ENTERED
NOV 1 2007
FRANKLIN CIRCUIT COURT
SALLY JUMP, CLERK

V.

ANTHONY PRITCHARD
12513 Live Oak Drive
Louisville, Kentucky 40243-2129 DEFENDANT

TEMPORARY RESTRAINING ORDER

Plaintiff, the Commonwealth of Kentucky, ex. rel. the Executive Director of the Office of Financial Institutions, having, pursuant to CR 65.03, requested a Restraining Order pending final judgment in this action, this Court having reviewed the allegations of the Verified Complaint, the exhibits and accompanying affidavits and being otherwise sufficiently advised, this Court finds that the conduct of the Defendant, Anthony Pritchard, in offering investment advice to investors and potential investors (over the Internet and otherwise) and in soliciting and accepting monies from investors (over the Internet and otherwise) for purposes of pooling those monies and then using them to purchase and sell securities, without registration of any kind, appears to be in violation of the registration requirements of the Kentucky Securities Act, KRS Chapter 292, and the prohibitions contained in the Agreed Order entered into between the parties to this action on October 2, 2006, and if not restrained, would work or tend to work a fraud on investors and/or would constitute an artifice to defraud in violation of KRS 292.320.

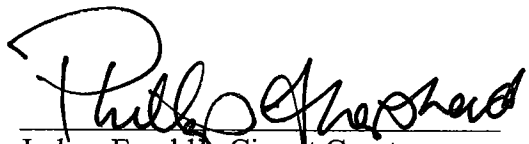
Further, Defendant's conduct threatens and will continue to threaten and cause irreparable harm to the citizens of Kentucky and persons outside Kentucky from acts that originate in Kentucky. Such persons are persons for whose benefit and protection the securities laws of the Commonwealth of Kentucky provide. Without issuance of this Restraining Order, citizens of Kentucky and outside Kentucky who respond to Defendant's illegal and unauthorized solicitations stand to suffer unrecoverable financial loss. Furthermore, Plaintiff, Executive Director for the Kentucky Office of Financial Institutions, will be immediately and irreparably harmed by his inability to ensure that his orders are obeyed and complied with or to protect investors within and outside Kentucky during the pendency of this action.

THEREFORE, upon the request of Plaintiff, the Court having reviewed the Verified Complaint herein, and being otherwise sufficiently advised, and for the reasons set forth above, it is hereby ORDERED that Anthony Pritchard, personally, and through any business entities, partners, agents, officers, members, attorneys, and any person in active concert or participation with him, is hereby RESTRAINED and prohibited from any and all activity or conduct in or from the Commonwealth of Kentucky, whether through the use of the Internet or otherwise, in connection with the solicitation of funds from any third persons for investment purposes and the use of any such funds received for the purchase or sale of any securities, including but not limited to options, pending a final judgment in this action. Defendant is FURTHER RESTRAINED and prohibited from holding himself out as an investment adviser or broker/dealer or as being affiliated with an investment adviser or broker/dealer, pending a final judgment in this action. Defendant is FURTHER RESTRAINED from engaging in any activity in violation or

contravention of any other term, condition, or prohibition entered into between Plaintiff and Defendant on October 2, 2006, which is attached as Exhibit A to the Verified Complaint filed herein, pending a final judgment in this action.

Pursuant to KRS 292.470, Plaintiff shall not be required to post bond.

This Restraining Order is so issued at 2:30 o'clock, P.m., this the 1st day of November, 2007.



Judge, Franklin Circuit Court
Division 1