



DEPARTMENT OF FINANCIAL INSTITUTIONS
1025 CAPITAL CENTER DRIVE, SUITE 200
FRANKFORT, KENTUCKY 40601

**APPLICATION FOR A
MORTGAGE LOAN COMPANY OR MORTGAGE LOAN BROKER EXEMPTION
(HUD EXEMPTION)**

Each location, whether a main office or branch, must submit a separate application. Please note that any location that has not been HUD approved or licensed by any state for five (5) consecutive years prior to filing for the exemption is not eligible pursuant to KRS 286.8-020(9).

Application is hereby made to the Commissioner, Department of Financial Institutions, for the following exempt location pursuant to KRS 286.8-020(2) and (3):

Check one: *New Application* _____ *Reapplication (renewal)* _____
*** Reapplications must be accompanied by the attached Government Loan List**

1. Name of Applicant _____
2. Name under which Applicant will conduct business in Kentucky (if different than above)

3. How long has the location been licensed/HUD approved? _____
4. Federal Tax ID # _____
5. Corporate Telephone Number _____
6. Proposed Branch Telephone Number _____
7. E-mail Address _____
8. Complete Address of Corporate Office : (street, city, state, zip code)

9. Complete Address of **Branch Office**: (street, city, state, zip code)

10. Name of Branch Manager _____

11. HUD Lender Number: _____

12. Name and Telephone Number of Contact Person for Compliance/Complaint Issues

13. Attach the following items:

- (a) Provide proof of HUD Approval;
- (b) Proof of registration with the Kentucky Secretary of State, including a Certificate of Assumed Name (if applicable);
- (c) A list of all Registered Loan Originators and Processors; and
- (d) Government Loan List (for reapplications, complete on the attached chart).

I hereby certify that I have truthfully completed the foregoing application, and certify that I am authorized to act behalf of the applicant.

Applicant's Signature

Subscribed and sworn to before me this
_____ day of _____, 2_____.

Notary Public - State at Large
My Commission Expires: _____

DEPARTMENT OF FINANCIAL INSTITUTIONS GOVERNMENT LOAN LIST FOR HUD EXEMPT COMPANIES

Name: _____
 Address: _____
 * Exempt #: _____
 Year: _____

FHA Case #	Borrower Name	Address of Property	Loan Date	Loan Amount	Loan Number	Loan Officer
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

Instructions Please list your first 20 FHA loans made on Kentucky properties in the previous calendar year for the location identified on page 1. Note that a separate application and Government Loan List must be completed for each exempt location.

* - This exemption number, assigned to you by our agency, will be on your exemption letter.