



DEPARTMENT OF FINANCIAL INSTITUTIONS
1025 CAPITAL CENTER DRIVE, SUITE 200
FRANKFORT, KENTUCKY 40601

APPLICATION FOR A
MORTGAGE LOAN COMPANY OR MORTGAGE LOAN BROKER EXEMPTION
(NON-PROFIT EXEMPTION)

Check one: *New Application* _____ *Renewal Application* _____

Application is hereby made to the Commissioner, Department of Financial Institutions, for the following exempt location pursuant to KRS 286.8-020(1)(h) and (3):

1. Name of Applicant _____
2. Name under which Applicant will conduct business in Kentucky (if different than above)

3. Corporate Telephone Number _____
4. Complete Address of **Corporate** Office : (street, city, state, zip code)

5. Identify the primary purpose of the applicant's operations

6. Name and Telephone Number of Contact Person for Compliance/Complaint Issues

7. Attach the following items:

- (a) A list of all Registered Loan Originators and Processors;
- (b) Proof of 501(c)(3) tax exempt status; and
- (c) Proof of registration with the Kentucky Secretary of State, including a Certificate of Assumed Name (if applicable).

I hereby certify that I have truthfully completed the foregoing application, and certify that I am authorized to act on behalf of the applicant.

Applicant's Signature

Subscribed and sworn to before me this
_____ day of _____, 2____.

Notary Public - State at Large
My Commission Expires: _____